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decide disputed issues of fact when ruling on Defendants' non-enumerated Rule 12(b) motion.

Wyatt v. Terhune, 315 F.3d 1108, 1119-20 (9th Cir. 2003). Plaintiff may provide evidence to the Court to dispute that which is presented by Defendants. Id. at n.14.

This motion is based on this Notice, the following Memorandum of Points and Authorities, the declarations and exhibits filed in support of this motion, the proposed order, and the pleadings and records on file with the Court in this action.

# MEMORANDUM OF POINTS AND AUTHORITIES

### ISSUES PRESENTED

- 1.) The PRLA requires an inmate to properly exhaust administrative remedies before filing civil-rights suits concerning prison conditions. Plaintiff's appeal was not exhausted because he did not complete the final level of review. Does the PRLA's exhaustion provision require that Plaintiff's claims be dismissed?
- 2.) Qualified Immunity protects government officials from liability for damages when their conduct does not violate clearly established rights of which a reasonable prison official would have known. Plaintiff refused to obey orders during a prison yard disturbance and was found guilty of a prison rules violation. Would reasonable officials in Defendants' positions have believed such conduct violated Plaintiff's rights?

### **SUMMARY OF ARGUMENT**

The Plaintiff cannot satisfy the PLRA's administrative exhaustion requirement because the administrative appeal received no final Director's level review, and therefore did not properly exhaust all available administrative remedies.

Defendants reasonably believed the amount of force used was lawful and necessary to restore order at Salinas Valley State Prison so they are entitled to summary judgment.

## STATEMENT OF THE CASE

Plaintiff Jahir Alberto Rojas, CDCR number P-58734, is a state prisoner currently incarcerated at California State Prison, Corcoran. On September 10, 2008, Plaintiff filed this action under 42 U.S.C. § 1983. On April 28, 2008, this Court screened Plaintiff's complaint under the PLRA, and recognized an Eighth Amendment claim for excessive force against

- 1 Defendants Loza, Singh, and Sandoval. (Order of Initial Review, Docket No. 8, dated April 28,
- 2 | 2008, at 2:13-15.) The Court's screening order required a dispositive motion to be filed by July
- 3 | 27, 2008. (*Id.* at 3:6-8.)

# STATEMENT OF FACTS

- 5 | 1. On February 12, 2007, Defendants responded to a massive standoff at Salinas Valley
- 6 State Prison between approximately one hundred and forty African-American and Southern
- 7 || Hispanic inmates which resulted in a standoff, where all inmates refused to leave the yard. (See
- 8 | Compl., Docket No. 1, Ex. G, Rules Violation Report.)
- 9 2. During the disturbance, correctional officer Morring ordered Plaintiff to crawl backward
- 10 | toward a skirmish line formed by other correctional officers. (See Id., Ex. A, Crime Incident
- 11 Report.)

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- 12 | 3. Plaintiff refused the order but instead shouted "fuck that shit" and began advancing
- 13 toward staff with clenched fists. (See Id.)
- 14 4. Defendant Loza then ordered the Plaintiff multiple times to lie face down on the ground.
- 15 (See Id.)
- 16 5. Defendant Loza then placed Plaintiff in flexcuffs and began escorted him to the skirmish
- 17 | line. (See Id.)
- 18 6. During the escort, Plaintiff violently twisted his upper torso and swung his elbows from
- 19 | left to right. (See Id.)
- 20 | 7. Defendant Loza gave direct orders to stop resisting, which the Plaintiff refused, and then
- 21 | swung his left elbow into Defendant Loza's right ribcage. (See Id.)
- 22 | 8. Defendant Loza, with the assistance of Defendant Singh, forced Plaintiff to the ground,
- 23 face down. (See Id.)
- 24 | 9. As Defendant Loza tried to gain control of the Plaintiff, he began shouting "Get them."
- 25 || (See Id.)
- 26 | 10. Immediately, the remaining unsecured Southern Hispanic inmates stood up and began
- 27 | advancing toward Defendant Loza, as Plaintiff continued to resist by swinging his elbows and
- 28 kicking his feet. (See Id.)

- 1 11. Defendant Loza ordered the Plaintiff to stop resisting, which he refused, forcing
- 2 | Defendant Loza to pepper spray Plaintiff's upper torso. (See Id.)
- 3 | 12. At this point, Defendant Loza observed multiple Southern Hispanics advancing toward
- 4 | him, so he deployed a blast dispersion grenade to subdue them. (See Id.)
- 5 | 13. Defendant Sandoval then aided Defendant Loza in resuming Plaintiff's escort to a
- 6 temporary holding cell in the Health Services Annex. (See Id., Ex. G, Rules Violation Report.)
- 7 | 14. While Defendant Loza and Defendant Sandoval attempted to place Loza in a temporary
- 8 | holding cell, Plaintiff began vigorously shaking his body in a left and right motion, and broke
- 9 free of their hold. (See Id.)
- 10 | 15. Plaintiff then grabbed Defendant Loza's right forearm, while his hands were restrained to
- 11 | the rear by his flex cuffs. (See Id.)
- 12 | 16. While maintaining his hold on Defendant Loza, Plaintiff pulled his body forward, pulling
- 13 Defendant Loza on top of him and to the ground. (See Id.)
- 14 | 17. Finally, Defendants Loza and Sandoval regained control of Plaintiff and placed him in a
- 15 temporary holding cell. (See Id.)
- 16 | 18. Due to his disruptive behavior, Defendant Loza recommended Plaintiff's transfer to
- 17 | another Level four institution. (See Id.)
- 18 | 19. Plaintiff was later found guilty of the Rules Violation for battery on a peace officer.
- 19 (See Medina Decl. ¶ 6, Ex. C.)
- 20 | 20. Plaintiff alleges that during the initial disturbance and escort, Defendant Loza thrust his
- 21 knee into Plaintiff's back, placed his flexcuffs on too tight, jerked him during the escort, swung
- 22 | him to the ground, and deployed pepper spray on him. (See Compl., Docket No. 1, at 4(I) ¶ 4, 5,
- 23 | 6.)
- 24 | 21. Plaintiff's only allegation against Defendant Singh is that he jerked him during his escort
- 25 | toward the skirmish line formed by the officers during the yard fight disturbance. (See Id. at 4(I)
- 26 | ¶ 5.)
- 27 | 22. Plaintiff also alleges that after being escorted to the Health Annex, Defendant Loza and
- 28 | Sandavol rammed his head into a wall, hit him with their fists in the upper and lower torso, and

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- 23. The medical records submitted by Plaintiff show bruising to the head, swelling to the left eye, a small abrasion and bruise to the left shoulder, and pepper spray exposure to the front torso and back shoulders. (See id. at Ex. D. Medical Report of Injury or Unusual Occurrence.)
- 24. The medical records do not show any injuries to the wrists, upper torso, or lower torso. (See Id.)
- 25. On March 28, 2007, the Salinas Valley Inmate Appeals Office received Plaintiff's administrative appeal, bearing institutional log number SVSP-D-07-01389 (Appeal), regarding the February 12, 2007 incident. (Medina Decl. ¶ 5, Ex B.)
- 26. 10 The Appeal automatically bypassed the informal level of appeal due to the excessive force allegations. (Id.) 11
- 12 27. The Appeal, however, was screened out for untimeliness because it was filed forty-four days after the alleged incident and well beyond the fifteen-working-day requirement under the 13 Code. (Cal. Code Regs. tit. 15, § 3084.6(c); Medina Decl. ¶ 5, Ex. B.) 14
- Plaintiff then submitted the Appeal to the third formal review level. (Grannis Decl. ¶ 9, 15 28. Ex. A.) 16
  - The Director's level screened out the Appeal for failure to submit to the second formal level of review. (Id.)

## ARGUMENT

### I. THE PRISON LITIGATION REFORM ACT'S EXHAUSTION REQUIREMENT REQUIRES DISMISSAL OF UNEXHAUSTED CLAIMS

#### Legal Standard. A.

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When an inmate-plaintiff fails to exhaust, a defendant may file a non-enumerated Rule 12(b) motion to dismiss. Wyatt v. Terhune, 315 F.3d 1108, 1119-20 (9th Cir. 2003). In ruling on such a motion, a court may look beyond the pleading to decide disputed issues of fact regarding exhaustion. Id. The proper disposition for failure to exhaust is dismissal without prejudice. Id. at 1120.

The PLRA requires that inmates exhaust their available administrative remedies before Defs.' Not. of Mot. and Mot. to Dismiss and Mot. for Qualified Immunity Rojas v. Loza, et al. C 07-4662 MMC (PR) filing civil-rights actions in federal courts. 42 U.S.C. § 1997e(a); *Porter v. Nussle*, 534 U.S. 516, 524 (2002); *McKinney v. Carey*, 311 F.3d 1198, 1199-1201 (9th Cir. 2002). In addition, the Supreme Court held that exhaustion of available remedies requires that a prisoner "properly exhaust," which means that "prisoners must complete the administrative review process in accordance with the applicable procedural rules, . . . rules that are defined not by the PLRA, but by the prison grievance process itself." *Jones v. Bock*, 549 U.S. 199, 211 (internal citations and quotation marks omitted) (quoting *Woodford v. Ngo*, 126 S. Ct. 2378, 2384 (2006)). Therefore, "[c]ompliance with prison grievance procedures . . . is all that is required by the PLRA to 'properly exhaust.'" *Id*. The Supreme Court also affirmed that a prisoner cannot satisfy the PLRA's exhaustion requirement "by filing an untimely or otherwise procedurally defective administrative grievance or appeal." *Id*. at 2382. A prisoner must properly proceed through each stage of the administrative process, to obtain a final Director's level of review in order to bring a federal lawsuit. *Id*. at 2387.

# B. California's Inmate Appeals Process.

The grievance process in the State of California allows inmates in California prisons to appeal "any departmental decision, action, condition, or policy which they can demonstrate as having an adverse effect upon their welfare." Cal. Code Regs. tit. 15, § 3084.1. To exhaust this process, before proceeding to federal court, an inmate must proceed through four levels of appeal: (1) informal level; (2) first formal level; (3) second formal level; and (4) third formal level, also known as the Director's level review. *Id.* § 3084.5. A decision at the third formal level, or Director's level of review, is final and constitutes exhaustion of available administrative remedies. *Id.* §§ 3084.1(a), 3084.5(e)(2).

To initiate the inmate appeal process, inmates must use a form CDC 602 (Form) to describe the problem complained of and the action requested. (Cal. Code Regs. tit. 15, § 3084.2; Grannis Decl. ¶ 3.) The inmate must submit the Form to the Appeals Coordinator within fifteen working days of the action taken. *Id.* § 3084.6(c). An inmate's appeal may be rejected where the time limits for submitting the appeal are exceeded and the appellant had the opportunity to file within the prescribed time constraints. *Id.* § 3084.3(c)(6). The informal level is bypassed for Defs.' Not. of Mot. and Mot. to Dismiss and Mot. for Qualified Immunity

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excessive force allegations. Id. §§ 3084.5(a)(3)(b), 3084.5(b)(a)(4).

# C. This Action Must be Dismissed Because Plaintiff Filed an Untimely Administrative Appeal.

On March 28, 2007, the Salinas Valley State Prison Inmate Appeals Office received Plaintiff's Appeal regarding the alleged February 12, 2007 incident. (Medina Decl. ¶ 5, Ex B.) The Appeal automatically bypassed the informal level of appeal due to the excessive force allegations. (Id.) The Appeal, however, was screened out for untimeliness because it was filed

Code had expired. (Cal. Code Regs. tit. 15, § 3084.6 (c); Medina Decl. ¶ 5, Ex. B.)

The Appeal was returned to Plaintiff and submitted to the third formal review level.

(Grannis Decl., ¶ 9, Ex. A.) The Director's level screened out the Appeal for failure to submit to the second formal review level. (Id.)

forty-four days after the alleged incident, well after the fifteen working days required under the

Plaintiff did not comply with the critical inmate appeals timing requirements. Since Plaintiff failed to file a timely Appeal in this matter, he did not properly exhaust his administrative remedies. Accordingly, the case must be dismissed under *Woodford*, 126 S.Ct. at 2384. *Woodford* involved a California inmate who filed an administrative grievance six months after the event. *Woodford v. Ngo*, 126 S. Ct. 2378 (2006). The grievance was rejected for the same reason as in this case. It was untimely because it was not filed within fifteen working days of the event under California Code of Regulations, tit. 15 § 3084.6(c). The Supreme Court held that the PLRA required an inmate to comply with the administrative grievance rules: "[p]roper exhaustion of administrative remedies demands compliance with an agency's deadlines and other critical procedural rules because no adjudicative system can function effectively without imposing some orderly structure on the course of its proceedings." *Id* at 2386.

Since *Woodford* holds that inmates who fail to timely comply with the prison's administrative grievance procedures are barred from suing on their claims, Plaintiff's untimely appeal is barred and the complaint should be dismissed without prejudice.

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# II. DEFENDANTS ARE ENTITLED TO QUALIFIED IMMUNITY.

## A. Qualified Immunity Standard.

The defense of qualified immunity applies to "government officials performing discretionary functions," who are "generally are shielded from liability for civil damages insofar as their conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known." *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982) (citation omitted). The rule of qualified immunity "provides ample protection to all but the plainly incompetent or those who knowingly violate the law." *Burns v. Reed*, 500 U.S. 478, 494-495 (1991) (citation omitted).

In Saucier v. Katz, 533 U.S. 194 (2001), the Supreme Court set forth a sequence of questions to be considered in determining whether qualified immunity is applicable. First, a Court must consider this threshold question: "Taken in the light most favorable to the party asserting the injury, do the facts alleged show the officer's conduct violated a constitutional right?" *Id.* at 201. If no constitutional right was violated under the alleged facts, the inquiry ends and defendants prevail. *Id.* If, however, "a violation could be made out on a favorable view of the parties' submissions," then the next sequential step is to ask whether the right was clearly established. *Id.* 

# B. Defendants Are Entitled to Qualified Immunity Because Plaintiff Failed to Show Defendants' Actions Violated a Constitutional Right.

As to the first prong of *Saucier*, Defendants did not violate Plaintiff's Eighth Amendment rights. Plaintiff's sole basis for relief against Defendants is excessive force against him during a yard standoff. (Compl., Docket No. 1, dated September 10, 2007, at 3 - 4III.)

Whenever a prison official is accused of using excessive force in violation of the Eighth Amendment, the core judicial inquiry is whether the force was applied in a good-faith effort to maintain or restore discipline, or maliciously and sadistically for the very purpose of causing harm. *Hudson v. McMillian*, 503 U.S. 1, 7 (1992); *Whitley v. Albers*, 475 U.S. 312, 320-21 (1986). To make that ultimate determination, the court may properly consider such factors as (1) the extent of injury suffered by the Plaintiff; (2) the need for the application of force; (3) the

relationship between that need and the amount of force used; (4) the threat reasonably perceived by the responsible officials; and (5) whether officials made efforts to temper the severity of a forceful response. *Hudson*, 503 U.S. at 12; *Whitley*, 475 U.S. at 321.

Prison officials must balance competing interests such as the need to restore order, the very real threat the disturbance poses to inmates, and the possible harm to inmates against whom force is used. *Whitley*, 475 U.S. at 320. Such decisions are "necessarily made in haste, under pressure, and frequently without the luxury of a second chance." *Id*.

"Prison administrators . . . should be accorded wide-ranging deference in their adoption and execution of policies and practices that in their judgment are needed to preserve internal order and discipline and to maintain institutional security." *Bell v. Wolfish*, 441 U.S. 520, 547 (1979). "That deference extends to a prison security measure taken in response to an actual confrontation with riotous inmates . . . . [C]ourts must determine whether the evidence goes beyond a mere dispute over the reasonableness of a particular use of force or the existence of arguably superior alternatives." *Whitley*, 475 U.S. at 322. While officials are not insulated from actions taken in bad faith or for no legitimate purpose, courts should not second guess the judgment of the officials who have made a considered choice. *Id*.

On February 12, 2007, Defendants responded to a massive disturbance at Salinas Valley State Prison between approximately one hundred and forty African-American and Southern Hispanic inmates which resulted in a standoff, where all inmates refused to leave the yard. (See Compl., Docket No. 1, dated September 10, 2007, Ex. G, Rules Violation Report.) During the disturbance, a skirmish line was formed and correctional officer Morring ordered Plaintiff to crawl backward toward it. (See Id., Ex. A, Crime Incident Report.) Plaintiff refused the order but instead shouted "fuck that shit" and began advancing toward staff with clenched fists. Id. Defendant Loza then ordered Plaintiff multiple times to lie face down. Id. Plaintiff eventually complied and Defendant Loza placed him in flexcuffs and escorted him to the skirmish line. Id.

During the escort, Plaintiff violently twisted his upper torso and swung his elbows from left to right. (See Id., Ex. A, Crime Incident Report.) Defendant Loza gave direct orders to stop resisting the escort, which Plaintiff refused, and then swung his left elbow into Defendant Loza's Defs.' Not. of Mot. and Mot. to Dismiss and Mot. for Qualified Immunity

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right ribcage. Id. Defendant Loza, with the assistance of Defendant Singh, forced Plaintiff to the ground in the prone position. Id. Plaintiff landed on his upper torso area and his face. Id. The initial use of force against Plaintiff by Defendants Loza and Defendant Singh was necessary to maintain and restore order and security during a massive disturbance in the yard.

After Plaintiff was forced to the ground, Plaintiff shouted "Get them." Id. Immediately, the remaining unsecured Southern Hispanics stood up and began advancing toward Defendant Loza, as Plaintiff continued to swing his elbows and kick his feet. Id. Defendant Loza then ordered the Plaintiff to stop resisting, which he refused, forcing Defendant Loza to pepper spray Plaintiff's upper torso to gain compliance. Id. At this point, Defendant Loza observed multiple Southern Hispanics advancing toward him. Id. This involvement by other inmates heightened the risk and potential danger of the situation causing Defendant Loza to deploy a blast dispersion grenade. Id. Aware of this danger and concerned for the safety of himself, other officers, and the security of the institution, Defendant Loza dispensed the pepper spray and other chemical agents in order to persuade Plaintiff to comply with his orders and to maintain order.

Afterward, Defendant Sandoval aided Defendant Loza in Plaintiff's escort to a temporary holding cell in the Health Services Annex. (See Compl., Docket No. 1, dated September 10, 2007, Ex. G, Rules Violation Report.) While Defendant Loza and Defendant Sandoval attempted to place Loza in a temporary holding cell, Plaintiff began vigorously shaking his body in a left and right motion, and broke free of their hold. Id. Plaintiff then grabbed Defendant Loza's right forearm, while his hands were restrained to the rear by his flex cuffs. *Id.* While maintaining his hold on Defendant Loza, Plaintiff pulled his body forward, pulling Defendant Loza on top of Plaintiff and to the ground. *Id.* Plaintiff posed an obvious and immediate threat to the Defendant officers and the security of the building compelling the Defendants to physically regain control of the Plaintiff. Ultimately, Defendants Loza and Sandoval successfully placed Plaintiff in a temporary holding cell and later recommended his transfer to another Level four institution. Id. Plaintiff was later found guilty of the Rules Violation for battery on a peace officer. (See Medina Decl. ¶ 6, Ex. C.)

While Plaintiff claims that the Defendants placed his handcuffs on too tight, kneed him in Defs.' Not. of Mot. and Mot. to Dismiss and Mot. for Qualified Immunity Rojas v. Loza, et al. C 07-4662 MMC (PR)

the back, slammed him to the ground, pepper sprayed him, rammed his head, and hit and kicked him in the head and torso, the medical records corroborate Defendants' statements of the incident. The medical records show bruising to the head, small swelling to the left eye, a small abrasion and bruise to the left shoulder, and pepper spray exposure to the front torso and back shoulders, which are consistent with pepper spraying and forcing an inmate to the ground, face down. (See Compl., Docket No. 1, dated September 10, 2007, Ex. D, Medical Report of Injury or Unusual Occurrence.) The medical records do not show any injuries to the wrists, upper torso, or lower torso as alleged in the Plaintiff's Complaint. (See Id.)

Throughout the struggle to maintain control over the assaultive Plaintiff, the officers used the minimal amount of force required to control Plaintiff. Further, Defendants gave Plaintiff numerous orders to stop resisting. Defendants Loza, Sandoval, and Singh merely defended themselves and utilized appropriate force to maintain control and order during a dangerous assault, which is supported by the medical records, Rules Violation Report, and Crime Incident Report that Plaintiff submitted to this Court. Further, Plaintiff's injuries were minimal.

As a result, these officers did not act maliciously or sadistically towards Plaintiff. They simply did what they had to do to protect themselves and to maintain order and security in the prison. As a result, there was no constitutional violation by Defendants and they should be afforded qualified immunity.

# B. Defendants are Entitled to Qualified Immunity Because It Would Not Have Been Clear to Reasonable Officials that the Conduct at Issue was Unlawful.

Assuming, arguendo, that a constitutional violation could be found, then the next step under *Saucier* is to ask whether the right violated was a clearly established right. This is an inquiry that "must/be undertaken in light of the specific context of the case, not as a broad general proposition." *Saucier*, 533 U.S. 194. More specifically, the "relevant, dispositive inquiry in determining whether a right is clearly established is whether it would be clear to a reasonable officer that his conduct was unlawful in the situation he confronted." *Id.* at 202.

Here it would not have been clear to a reasonable officer that such conduct as alleged was unlawful in the context of subduing the Plaintiff during a standoff.

There is no clearly established right for an inmate to be free from restraint as long as he poses a risk to the safety and security of the institution. On the contrary, as long as an inmate poses a security risk, prison officials are "authorized and indeed required to take appropriate measures to maintain prison order." LeMaire v. Maass, 12 F.3d 1444, 1458 (9th Cir. 1993). As noted by the Ninth Circuit, The Plaintiff Inmatel is the master of his own fate. As long as he engages in violent and disruptive behavior, prison officials are authorized and indeed required to take appropriate measures to maintain prison order and discipline and protect staff and other prisoners from such violent inmates. Id. 9 In this case, Plaintiff's refused several direct orders, advanced toward staff with clenched fists, incited other inmates, hit Defendant Loza in the ribs, and later grabbed Defendant Loza and 11 forced him to the ground. (See Compl., Docket No. 1, dated September 10, 2007, Ex. G.) Although Plaintiff alleges that Defendants placed his handcuffs on too tightly, pushed him to the 12 13 ground, and assaulted him, under these circumstances of an ongoing inmate standoff, a 14 reasonable prison official would not have believed that using an amount of force reasonably 15 necessary to restore order and protect correctional officers was unlawful. Even if Defendants' actions were found to have violated a constitutional right, they should been afforded qualified 16 17 immunity since they acted as reasonable officers under the circumstances of a stressful massive 18 inmate standoff. *Hunter v. Bryant*, 502 U.S. 224, 227 (1991). 19 /// 20 /// 21 /// 22 /// 23 /// 24 /// 25 /// 26 ///

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**CONCLUSION** 2 Defendants respectfully request that the Court dismiss this action since Plaintiff failed to exhaust his available administrative remedies through the requisite third level. Additionally, Defendants are entitled to qualified immunity. Dated: July 25, 2008 Respectfully submitted, EDMUND G. BROWN JR. Attorney General of the State of California 9 DAVID S. CHANEY Chief Assistant Attorney General 10 ROCHELLE C. EAST Acting Senior Assistant Attorney General 11 MICHAEL W. JORGENSON 12 Supervising Deputy Attorney General 13 14 15 Deputy Attorney General 16 Attorneys for R. Loza, D. Sandoval, and R. Singh 17 18 20124790.wpd SF2008401788 19 20 21 22 23 24

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